

## FY 2006 Funding Strategy

Each fiscal year, after the Fogarty International Center (FIC) receives its appropriation from Congress, FIC develops a budget based on available resources. FIC is able to budget approximately \$50.8 million for grant awards for fiscal year 2006. These funds are apportioned between our anticipated competing awards of approximately \$13.5 million and previously established funding commitments of approximately \$37.3 million for our continuing noncompeting awards.

During the course of any given fiscal year, multiple factors come into play that could affect the implementation of the FIC funding strategy. Please check this website periodically for updates in this regard.

FIC uses several mechanisms to solicit applications for research and research training awards. Requests for Applications (RFAs) and Program Announcements (PAs) are the two chief mechanisms used. RFAs in the past have covered topics such as tobacco, genetics, HIV/AIDS, malaria, emerging infectious diseases, population and health, environmental and occupational health, ecology of infectious disease, biodiversity, international research in bioethics, informatics training, and brain disorders. The current FIC PAs are the AIDS International Training and Research Program (AITRP), Global Infectious Disease Research Training Program (GID), International Clinical, Operational, and Health Services Research and Training Award for AIDS and Tuberculosis (ICOHRTA-AIDS/TB) – Phase I, Fogarty International Research Collaboration Award (FIRCA) program, International Research Scientist Development Award (IRSDA) program, Trauma and Injury Training Program, Framework Programs for Global Health, and the Global Health Research Initiative Program (GRIP).

The AITRP, Trauma and Injury, and GID programs use the D43 grant mechanism and compete annually. The ICOHRTA AIDS/TB – Phase I awards use the D71 mechanism and also compete annually. The FIRCA programs use the R03 grant mechanism and are competed three times per year. The IRSDA program, which uses the K01 grant mechanism, helps train U.S. scientists in international research, and is competed annually. The GRIP program uses the R01 grant mechanism and is competed annually. The Framework program uses the R25 mechanism. To review RFAs and PAs that are currently open for application, please refer to the “Programs and Initiatives” section of the FIC website at: <http://www.fic.nih.gov/programs/grants.html>.

FIC employs a variety of funding mechanisms to meet programmatic objectives. These mechanisms include Research Projects Grants (R01); Small Research Grants (R03); International Training Planning Grant (D71), International Training Cooperative Agreements (U2R); Cooperative Agreements (U01); International Training Grants (D43); Educational Projects (R25); and the Research Scientist Development Awards (K01).

The success rate of competing applications varies by program but generally ranges between 10 to 15 percent. Funding decisions are based on the number of high-quality applications, the overall number of applications, and the availability of funds. We fund a large number of initiatives with collaborating funding partners that include other NIH Institutes/Centers, other government agencies, and non-government organizations.

In certain programs, the program relevance of our funding partners can also be a factor that affects the selection of grants to be funded. FIC's cost-management plan is to strive to award grants at funding levels that are slightly less than the recommended amount by the review groups, the FIC Advisory Board, and final funding decisions, taking into account program relevance and overall portfolio consideration as made by the FIC Director.

FIC is committed to providing opportunities for new investigators to engage in international research and training activities. The predominance of current FIC programs focus on the training of new and upcoming foreign investigators to compete in and contribute to efforts to address global research challenges. In order to augment these efforts, FIC developed a research program that began in FY 2002 called the Global Health Research Initiative Program for New Foreign Investigators (GRIP) that concentrates on establishing research capacity for new investigators in their home countries. FIC has also made a change to the FIRCA program to allow foreign collaborators to apply for a competing continuation grant after the first competitive segment has been awarded. FIC converted many of our on-going programs, listed above, to the Program Announcement (PA) format which will allow new investigators an ongoing opportunity to compete as well as submit amended applications for FIC awards.

Noncompeting research project grant continuation awards will be funded in accordance with the NIH implementation of the FY 06 Appropriation (See NIH Guide Notice NOT-OD-06-025). Noncompeting grants funding levels will normally be less than recommended for FY06 as shown in previous Notice of Grant Awards. The total costs awarded will be reduced by 2.35% to 5% by program for FY06 and all future years will be adjusted. In some circumstances, individual awards may need to be reduced more than 5% to accomplish the goals of the FIC FY06 Funding Strategy.

Competing applications issued in FY06 will be awarded at amounts less than requested or recommended. The total costs awarded will be reduced by 2.35% to 5% by program for FY06 and all future years will be adjusted. Competing applications submitted and reviewed during FY 2006 that are not funded will be administratively de-activated in June 2007.

February 2006